Fax:703-391-2901

P. 02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Michael NEUMAN et al.

Appln. No.:

10/068,776

TC/AU:

2132

Filed: Feb. 6, 2002

Examiner:

B. Lanier

Title: Apparatus and Method for Providing Secure Network Communication

Conf. No.:

7550

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Sir:

Petitioner hereby requests a refund in the amount \$\$510.00 charged to Deposit Account No. 18-1579 in connection with the above referenced application. The applicant (a small entity) electronically filed an Amendment and a three (3) month Extension of Time using the EFS-Web facility at around 5:30 p.m. EDT on March 30, 2007. At that time \$510.00 was directed via EFS-Web to be charged to the abovenoted deposit account to pay for the extension of time fee. As the result of an EFS-Web service malfunction (acknowledged at the time by the EBC help line), the electronic filing receipt stated that no payment was received with the filing. Thus, \$510.00 was again directed via EFS-Web to be charged to the same deposit account to ensure payment of the extension of time. This resulted in double payment of the fee.

Accordingly, Petitioner requests a refund in the amount of \$510.00 which was charged to Deposit Account No. 18-1579. A printout of the current statement of deposit account is attached hereto, showing the excess \$510.00 charged to the above-referenced Serial number.

Respectfully submitted,

Kevin L. Pontius

Registration No. 37,512

Roberts Mardula & Wertheim, LLC 11800 Sunrise Valley Drive, Suite 1000

Reston, VA 20191-5302

(703) 391-2900

April 6, 2007

Same party of the second secon

Atty. Docket No. 2696-001

Fax:703-391-2901

6 2007 11:10

VIA FACSIMILE: 571-273-6500 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Michael NEUMAN et al.

Appln. No.:

10/068,776

TC/AU:

2132

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7550

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Enclosed please find the following:

- Request for Refund;
- 2. Deposit Account Statement;
- 3. Electronic Acknowledgement Receipt dated 03/30/2007, bearing time stamp of 17:32:12;
- 4. Electronic Acknowledgement Receipt dated 03/30/2007, bearing time stamp of 22:23:41.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. 18-1579 The Commissioner is also authorized to charge Deposit Account No. 18-1579 for any future fees connected in any way to this application. Two copies of this letter are enclosed.

Respectfully submitted.

Kevin L. Pontius

Registration No. 37,512

Roberts Mardula & Wertheim, LLC 11800 Sunrise Valley Drive, Suite 1000

Reston, VA 20191-5302

(703) 391-2900

April 6, 2007

Atty. Docket No. 2696-001

Fax:703-391-2901

6 2007 11:10

P. 03

Deposit Account Statement

Page 1 of 1



United States Patent and Trademark Office



Deposit Account Statement

Requested Statement Month:

April 2007

Deposit Account Number:

181579

Name:

ROBERTS MARDULA & WERTHEIM, LLC.

Attention:

JON L. ROBERTS

Address:

.11800 SUNRISE VALLEY DRIVE

City: State: RESTON

VA

Zip:

20191

Country:

UNITED STATES

DATE SEQ

POSTING ATTORNEY FEE DOCKET CODE

AMT BAL

REF TXT

04/02 2602 10068776 2696-001 \$510.00 04/02 4519 10068776 2696-001 2253 \$510.00 \$6,660,96 04/03 29 11731203 2206-012C \$150.00 \$6,510.96

04/03 30 04/03 31

11731203 2208-012C 2111 11731203 2206-012C 2311

\$6,260.96 \$250.00

\$100.00 \$6,160.96

START SUM OF SUM OF **END** BALANCE CHARGES REPLENISH BALANCE \$7,680.96 \$1,520.00 \$6,160.96 \$.00

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District the second

Fax:703-391-2901

Apr 6 2007 11:11

P. 04

Deposit Account Statement

Page 1 of 1





Deposit Account Statement

Requested Statement Month:

April 2007

Deposit Account Number:

181579

Name:

ROBERTS MARDULA & WERTHEIM, LLC.

Attention:

JON L. ROBERTS

Address:

11800 SUNRISE VALLEY DRIVE

City:

RESTON

State:

VA 20191

Zip: Country:

UNITED STATES

DATE SEQ	ĺ	ATTORNEY DOCKET	FEE CODE	AMT	BAL
	REF TXT	NBR	2050	8540.00	m7 470 00
04/02 2602	100687 (6	2696-001	2253	\$ 510.00	\$7,170.96
04/02 4519	10068776	2696-001	2253	\$510.00	\$6,660.96
04/03 29	11731203	2206-012C	2011	\$150.00	\$6,510.96
04/03 30	11731203	2206-012C	2111	\$250.00	\$6,260.96
04/03 31	11731203	2206-012C	2311	\$100.00	\$6,160.98
•	START	SUM OF	SUM OF	END	
	BALANCE	CHARGES	REPLENISH	BALANCE	
•	\$7,680.96	\$1,520.00	\$.00	\$6,160.96	

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P. 05

Electronic Acknowledgement Receipt							
EFS ID:		1642263					
Application Number:		10068776					
International Application Number:							
Confirmation Num	ber:	7550					
Title of Inventio	n:	Apparatus and method for providing secure network communication					
	·	Apparatus and method for providing secure network communications					
First Named Inventor/App	icant Name:	Michael Neuman					
Correspondence Ac	idress:	Roberts Abokhair & Mardula, LLC Suite 1000 11800 Sunrise Valley Drive					
Filer:		Kevin Lane Pontius					
Filer Authorized	Ву:						
Attorney Docket Nu	mber:	2696-001					
Receipt Date:		30-MAR-2007					
Filing Date:		06-FEB-2002					
Time Stamp:		17:32:12					
Application Type:		Utility					
Payment information:							
Submitted with Payment	,	no					
File Listing:							

Fax:703-391-2901

Apr 6 2007 11:11

P.06

Electronic Acknowledgement Receipt							
EFS ID:	•	1643355					
Application Numb	er:	10068776					
International Application	Number:						
Confirmation Numi	ber:	7550					
		·					
Title of Invention	1:	Apparatus and method for providing secure network communication					
·	•						
	,						
	,						
First Named Inventor/Appl	cant Name:	Michael Neuman					
		Roberts Abokhair & Mardula, LLC					
		Suite 1000					
Correspondence Ad	dress:	11800 Sunrise Valley Drive					
		Reston VA 20191-5302					
		US 7033912900					
Filer:		Kevin Lane Pontius					
Filer Authorized B	 By:						
Attorney Docket Num		2696-001					
Receipt Date:		30-MAR-2007					
Filing Date:		06-FEB-2002					
Time Stamp:		22:23:41					
Application Typs):	Utility					
Payment information:	· · · · · · · · · · · · · · · · · · ·						
Submitted with Payment		yes					
Payment was successfully received	in RAM	\$510					
RAM confirmation Number		1826					

RM8_{LI}

Fax:703-391-2901

Apr 6 2007 11:12

P. 07

Deposit Account 181579

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

Document Number	Document De	scription	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)	
1	Fee Worksheet	(PTO-06)	fee-info.pdf	8143	no	2	
Warnings:				<u> </u>			
Information:			·				
			Total Files Size (in bytes)	1	8143		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compilant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the international Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Patent Application Fee Transmittal							
Application Number:	10068776						
Filing Date:		06-Feb-2002					
Title of Invention:		Apparatus and method for providing secure network communication					
First Named Inventor/Applicant Name:	Mi	ichael Neuman					
Filer:	Ke	evin Lane Pontius	·				
Attorney Docket Number:	26	96-001					
Filed as Small Entity							
Utility Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:				·	·		
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Extension - 3 months with \$0 paid		2253	1	510	510		

Adjustment date: 04/12/2007 SFELEKE1 04/02/2007 INTEFSW 00001061 181579 10068776 01 FC:2253 510.00 CR

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Miscellaneous:			-		
	Total in USD (\$)			510	

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